

Regulations approved at the ordinary session of the Governing Council of 29 May 2019

## **REGULATIONS CONCERNING THE "INDUSTRIAL DOCTORATE" MENTION**

Article 15 bis of Royal Decree 99/2011 of 28 January (Official State Bulletin 10.2.2011), amended by Royal Decree 195/2016 of 13 May (Official State Bulletin 3.6.2016), which regulates official doctoral degrees, includes the possibility that the doctoral student can obtain the Industrial Doctorate mention, which will be included in the doctoral degree certificate of those obtaining it. This mention aims to foster relations between the academic and business spheres, by promoting knowledge transfer and innovation and enhancing the employability of young researchers.

These Regulations Concerning the Industrial Doctorate Mention are formulated in accordance with the aforementioned Royal Decrees and other regulations in force.

### ARTICLE 1.- REQUISITES

In addition to the usual requisites and procedures that doctoral students at the UAH undertaking their research in partnership with companies or public bodies must fulfil in accordance with article 15 bis of Royal Decree 99/2011, they may obtain the Industrial Doctorate if the following circumstances are met:

1. An employment or commercial contract with the doctoral student is in force. The contract may be drawn up by a private or public sector company, or by a public body. The term of this contract must be at least one year in duration, which must fall within the thesis preparation period, and must under no circumstances have concluded prior to one year before the date the doctoral thesis is deposited with the academic committee of the programme.

2. The doctoral student must participate in an industrial research or experimental development project, directly related to his/her thesis, which can be undertaken in its entirety in a company or public body, which may not be a university; or as a partnership between those institutions and the UAH.

If the project is carried out in partnership between the university and the company or public body where the doctoral student is rendering his/her services, the parties involved must sign a framework partnership agreement. This agreement will stipulate the obligations of the University and the obligations of the company or public body, and the procedure for the selection of doctoral students.

### ARTICLE 2. STUDENT MENTOR AND SUPERVISOR

The doctoral student will have a mentor assigned by the company or public body, who will be responsible for supervising the research project. This mentor may be the thesis supervisor in accordance with the regulations governing Doctorates at the UAH. The Doctoral Programme's Committee will review the company mentor's curriculum vitae and decide on whether he/she is qualified to act as the thesis supervisor. A tutor from the University of Alcalá, who may also act as co-supervisor, must be designated if the company mentor finally acts as the supervisor.

When the company mentor does not act as the thesis supervisor, a supervisor will be required in accordance with the regulations governing Doctorates at the UAH. If the supervisor does not come from the University of Alcalá, a tutor from the University of Alcalá will be required, who may also act as co-supervisor.

# ARTICLE 3.- PROCEDURE

When applying for the "Industrial Doctorate" mention, doctoral students must submit their application to the programme's academic committee at least six months before depositing the thesis; this must be accompanied by a copy of the employment or commercial contract, a technical report on the industrial or experimental research project, and its connection to the doctoral thesis; and the confidentiality agreement, if one exists. The technical report must be approved by the person responsible at the company or the public body, and contain an explanation of the institution's interest in carrying out the project. The report must also have the approval of the tutor appointed by the UAH and the thesis supervisor(s).

If the project is carried out as a partnership between the company or public body and the University, the relevant agreement must be attached. This agreement must have been signed by the university and the company or public body pursuant to article 15 bis.2 of Royal Decree 99/2011 of 28 January, which governs official doctoral courses, as amended by Royal Decree 195/2016 of 195/2016 of 13 May.

The Academic Committee of the doctoral programme will examine the application and accept or reject it according to the criteria of the Programme, and bearing in mind the viability of the research project submitted. After approval, the Academic Committee will send the application, together with all the documentation specified above as appropriate (copy of the contract, technical report, connection with the doctoral thesis, confidentiality agreement, agreement) to the Doctoral School within ten days for ratification and archiving of documents.

## ARTICLE 4. CONFIDENTIALITY AGREEMENT

Should the parties deem it necessary, a confidentiality agreement will be signed to govern the use of confidential information and the dissemination of research outcomes. This agreement must be attached to the doctoral student's application form and technical report.

### SINGLE FINAL PROVISION

These regulations will take effect on the day after they are published in the Official Gazette of the University of Alcalá.